

FORMALITIES LETTER



OC00000005125458

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/522,331	03/09/2000	Tipin Ben Chang	ARAX-011

David B Ritchie
D'Alessandro & Ritchie
P O Box 640640
San Jose, CA 95164-0640

Date Mailed: 05/18/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$156.
 ■ \$156 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 976.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/21/2000 SSESHE1 00000011 09522331

01 FC:201 345.00 OP
02 FC:202 78.00 OP
03 FC:205 65.00 OP



Sector 4
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tipin Ben Chang and Chung-Jen Ho

Serial No. 09/522,331

Filed: March 9, 2000

For: XML USER INTERFACE FOR A
WORKFLOW SERVER

Art Unit:

Examiner:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents

Attn: Box Missing Parts

on

Date

Tara Hayden

RESPONSE TO NOTICE TO FILE MISSING PARTS

Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts dated May 18, 2000, please find enclosed herewith:

1. Declaration executed by the inventors;
2. Power of Attorney executed by an officer of assignee, Confer Software,

Inc.;

3. Verified Statement Claiming Small Entity Status;

4. Check in the amount of \$488.00 for filing fee and surcharge for assignee as a small entity calculated as follows:

Basic filing fee - Fee Code 201	\$ 345.00
2 additional independent claims - Fee Code 202	\$ 78.00
<u>Surcharge - Fee Code 205</u>	<u>\$ 65.00</u>
Total	\$ 488.00

5. Copy of Notice to File Missing Parts.

The Assistant Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 04-0025. A duplicate of this transmittal page is enclosed.

Respectfully submitted,
D'ALESSANDRO & RITCHIE



David B. Ritchie
Reg. No. 31,562

Dated: July 11, 2000

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